



RESEARCH GUIDE

Investment Information

United States

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✦ For access to the Web-based guide *Resources in Business* connect to:
<http://www.lib.uconn.edu/online/research/bysubject/busimain.htm>

✦ For access to UConn Libraries Online Reference Books (eBooks) in *Business* connect to:
<http://www.lib.uconn.edu/online/research/refbooks.html>

Companies

Factiva

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Factiva

This global news and business information service combines the content sets of *Dow Jones Interactive* and *Reuters Business Briefing*.

Click: **Companies/Markets**

Select **Quotes**, **Charting**, or **Company Screening**

Investext Plus

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Investext Plus

Investext Plus provides full text access to the top brokerage firm, investment bank, and trade association reports complete with charts, statistics, photographs and graphics on companies, industries and global markets.

Mutual Funds

Investment Companies Yearbook (CDA/Wiesenberger (Firm)) 1977-2001

2001 Edition: **Ref. HG 4497 W47**

Backfile: **Level 2 Oversize / HG 4497 W47**

This publication provides information on mutual funds and investment companies giving detailed information on large companies and brief summaries for smaller companies. Also included is a 10-year performance history section and articles explaining mutual fund investing.

Mergent's Dividend Record (formerly *Moody's*)

Ref. HG 4961 M665 2007+

Backfile: **Level 2 Oversize / HG 4961 M665** 1965+

Provides dividend data on a large number of mutual funds. Covers dividends on 9,500+ common and preferred stocks, 2,000+ non-paying issues and payments (interest) on 12,000+ bond funds. Good for finding announcement and payment date of dividend. Arranged by company, trust and fund.

Morningstar Mutual Fund Current

Babbidge Reference Index Table / HG 4530 M8

Provides up to date mutual fund data.

Stock Guide Closed End Funds (formerly *Security Owner's Stock Guide*) Current

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Standard & Poor's NetAdvantage

Search by fund name, fund type or ticker. Use **Advanced Search** for organizing a group of funds with common attributes.

Backfile: Print – **Level 2S / HG 4915 S67** *Security Owner's Stock Guide*; January Issues 1973, 1975, 1977, 1978, 1981, 1983-1986, 1995-2000

Microfiche – filed under *Standard & Poor's Stock Guide* 1988-2000 (January year-end price issue)

Babbidge Library / Level 3 / Microform Research Collection

See the *Standard & Poor's Mutual Fund Summary* section in the microfiche backfile.

News Indexes

New York Times 1980+

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Newspapers through Proquest

Provides full text coverage.

New York Times 1851-2004

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → New York Times Historical

The New York Times (1851-2004) offers full page and article images with searchable full text back to the first issue. The collection includes digital reproductions providing access to every page from every available issue.

Wall Street Journal 1984+

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Newspapers through Proquest

Provides full text coverage.

Wall Street Journal Index / Barron's Index 1981-2002

Level A Annex / HG 1 W26 1958-2002

This index has sections covering general news, articles in series, special reports, corporate news and closing Dow Jones Averages for the year. Best access is by company name.

Stocks—Major Exchanges

Daily Stock Price Record: New York Stock Exchange

American Stock Exchange and NASDAQ 1988-2005

Level 2S / HG 4915 D35

Contains numerical data on market indicators, price averages, daily and weekly stock prices. Short interest information is also available for listed stocks only. Arranged by name of stock.

Mergent Online

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Mergent Online

Covers US and international company data including information on history, products, subsidiaries, officers, financials and more. Successor to print source, Moody's then, Mergent Manuals.

Print:

Mergent Municipal and Government Manual 2007 / Ref. HG 4931 M58; Backfile: Level 2N Oversize / f HG 4931 M58

Bank & Finance / Level 2S / HG 4961 M65 1977-2004

Industrial / Level 2S / HG 4961 M67 1977-2004

Municipal & Government Level 2S / HG 4961 M58 1977-2006

OTC Industrial / Level 2S / HG 4961 M72 1977-2003

OTC Unlisted / Level 2S / HG 4905 M66 1986-2004

Public Utility & Transportation Manual / Level 2S / HG 4961 M7245 1928-2004

Microfiche:

Moody's Manuals on Microfiche (early years to 1989 / Level 3, Babbidge Microform Research Collection)

Stock Guide Closed End Funds Current

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Standard & Poor's NetAdvantage

Search by stock name or ticker. Use **Advanced Search** to group stocks with common attributes.

Backfile: Print – Level 2S / HG 4915 S67; *Security Owners Stock Guide*; January issues 1973, 1975, 1977, 1978, 1981, 1983-1986, 1995-2000

Microfiche – 1988-2000 filed under *Standard & Poor's Stock Guide* (January year-end price issue)

Babbidge Library / Level 3 / Microform Research Collection

Lists numerical data for more than 5,200 common and preferred stocks and more than 650 mutual fund issues. Data includes ratings, price range, dividends, financial position, capitalization and earnings. Arranged alphabetically by fund or firm.

Standard & Poor's Stock Reports 1980-9/2007 AMEX, NYSE, NASDAQ and Regional Exchanges

Level 2S / HG 4501 S

This service provides reports on 4,600+ companies traded on the three major exchanges. Each report covers **betas**, income statement and balance sheet items, analysis of earnings, data on dividends, prices, finances, capitalization, management and recent developments. Arrangement by name of firm.

Value Line Investment Survey 1980+

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Value Line Investment Survey – Standard Edition

Backfile: 1980+ Microfiche (weekly updates) **Babbidge Library / Level 3 / Microform Research Collection**

This weekly investment advisory service covers 1,700+ stocks in three sections. The “Summary and Index” lists the stocks alphabetically with recent prices and current rankings for timeliness and safety with references to the other sections. “Selection and Opinion” provides trends, forecasts and investment strategies. “Ratings and Reports” are full-page reports of the 1,700+ companies with a one page report on the 92 industries they represent. This is a good source for **betas**.

Stocks—Over-the-Counter

Mergent Online (most recent 15 years)

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Mergent Online

Encompasses *Mergent’s OTC Industrial Manual* (formerly *Moody’s*)

Backfile: **Level 2N Oversize / f HG 4961 M72**

For 1,800+ over-the-counter industrial companies, this manual presents a description of each company, financials and a “Statistical Record” tabulation. This tabulation includes earnings, dividends, interest, assets and financial and operating ratios. Arranged alphabetically by name of firm.

Encompasses *Mergent’s OTC Unlisted Manual* (formerly *Moody’s*) 1986-2004

Backfile: **Level 2N Oversize / f HG 4905 M66**

This manual provides similar information as the other Moody manuals but tracks 2,000+ smaller OTC industrial firms. Arranged alphabetically by name of firm.

Bonds

Mergent’s Bond Record (formerly *Moody’s*)

Backfile: **Level 2N Oversize / f HG 4905 M78** 1975-2007

Information on domestic, Canadian and some foreign bonds in a single listing. Specifically it covers corporate, municipal, convertible and government bonds. There is also a separate commercial paper and preferred stock ratings section. Arranged alphabetically by the name of the bond in each section by the type of bond.

Mergent Online (most recent 15 years)

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Mergent Online

Encompasses *Mergent’s Municipal & Government Manual* (formerly *Moody’s*) Annual with semi-weekly News Reports.

Ref. HG 4931 M58 2007

Backfile: **Level 2N Oversize / f HG 4931 M58**

This manual provides facts and figures on federal, state agency, municipal, pollution control, industrial development, housing and redevelopment agency bond issues. Arrangement by name of firm or organization.

Bond Guide: Corporate Current

<http://www.lib.uconn.edu/> (UConn Libraries homepage) → All Databases → By Database Name → Standard & Poor’s NetAdvantage

Funds can be grouped by common attributes.

Backfile: Print – **Level 2S / HG 4905 S435; Bond Guide**, 1974-1977, 1981-1990, 1995-2000

Microfiche, 1991-1999 filed under *Standard & Poor’s Bond Guide* (January year-end price issue)

Babbidge Library / Level 3 / Microform Research Collection

Provides comparative financial and statistical information on 6,000+ corporate bonds, 300+ Canadian and 275+ foreign issues and 625+ convertible bonds. This numerical data includes ratings, cash, current liabilities, long term debt, capitalization, prices and yield. Arranged by company name.

Dividends

Mergent’s Dividend Record (formerly *Moody’s*)

Ref. HG 4961 M665 2007

Backfile: **Level 2S / HG 4961 M665** 1965-2005

Provides dividend data on a large number of mutual funds also. Covers dividends on 9,500+ common and preferred stocks, 2,000+ non-paying issues and payments (interest) on 12,000+ bond funds. Good for finding announcement and payment date of dividend. Arranged by company, trust and fund.

Handbooks and Guides

Handbook of Financial Instruments

Ref. HG 4521 H2365 2002

Handbook of Financial Market Indexes, Averages and Indicators

Ref. HG 4636 B49 1990

Investment Statistics Locator

Ref. HG 4910 B46 1995

An outstanding source for locating major investment services that provide references to financial services by specific subjects (e.g. treasury bond index, treasury bills, corporate bonds and others).

Official Summary of Security Transactions and Holdings Monthly 1943+

Federal Documents Collection / Level B / SUDOC No. SE 1.9:

This monthly provides names of stockholders arranged by security issue. A table gives security transactions with the numbers of shares bought, sold and held.

Standard & Poor's Statistical Service

Level 2S / HC 101 S732 1986-1992, 1996-2006

Stocks, Bonds, Bills, and Inflation: SBBi Yearbook

Ref. HG 4501 S7949

Dictionaries and Encyclopedias

Dictionary of Finance and Investment Terms (Barron's)

Ref. HG 151 D69 R67 2003

Dictionary of Financial Abbreviations

Ref. HG 151.3 P39 2003

Encyclopedia of Banking and Finance

Ref. HG 151 M8 1991

Handbook of Financial Instruments

Ref. HG 4521 H2365 2002

Handbook of International Financial Terms

Ref. HG 3881 M578 1997

Online: <http://www.lib.uconn.edu/online/research/refbooks.html>

New Palgrave Dictionary of Money and Finance

Ref. HG 151 N48 1992

New York Times Dictionary of Money and Investing

Ref. HG 216 M67 2002